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PTO/SB/50 (08-00)

Approved for use through 12/30/2000. OMB 0651-0033  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## REISSUE DIVISIONAL PATENT APPLICATION TRANSMITTAL

Address to: <b>BROADENED REISSUE</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	Attorney Docket No.	MTS-520US2
	First Named Inventor	Mitsuaki Oshima
	Original Patent Number	5,761,301
	Original Patent Issue Date (Month/Day/Year)	June 2, 1998
	Express Mail Label No.	EL 923263897 US

### APPLICATION FOR REISSUE OF:

(check applicable box)



Utility Patent



Design Patent



Plant Patent


### APPLICATION ELEMENTS (37 CFR 1.173)

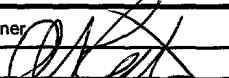
1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/56)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification and Claims in a double column copy of patent format (amended, if appropriate)
4. ☐ Drawing(s) (proposed amendments, if appropriate)
5. ☒ Substitute Reissue Oath / Declaration (original or copy) and copy of Defective Declaration (37 C.F.R. § 1.175)(PTO/SB/51 or 52)
6. Original U.S. Patent currently assigned?  
☒ Yes ☐ No  
(If Yes, check applicable box(es))  
  
☒ Written Consent of all Assignees (PTO/SB/53)  
  
☒ 37 C.F.R. § 3.73(b) Statement (PTO/SB/96) ☐ Power of Attorney

### ACCOMPANYING APPLICATION PARTS

7. ☐ Statement of status/support for all changes to the claims. See 37 CFR 1.173(c).
8. ☐ Original U.S. Patent for surrender  
☐ Ribboned Original Patent Grant  
☐ Statement of Loss (PTO/SB/55)
9. ☒ Foreign Priority Claim (35 U.S.C. 119) (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ English Translation of Reissue Oath/Declaration (if applicable)
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☒ Other: Copy of Offer to Surrender

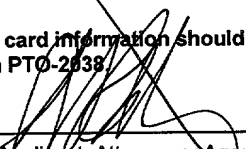
### 14. CORRESPONDENCE ADDRESS

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NAME (Print/Type)	Allan Ratner	Registration No. (Attorney/Agent)	19,717
Signature		Date	December 7, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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<b>REISSUE DIVISIONAL APPLICATION FEE TRANSMITTAL FORM</b>					Docket Number (Optional) MTS-520US2				
<b>Claims as Filed - Part 1</b>									
Claims in Patent	For	Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity			
				Rate	Fee	Rate	Fee		
(A) 43	Total Claims (37 CFR 1.16(j)) Independent Claims (37 CFR 1.16(i))	(B) 03	* 00	=	X\$	=	or	X\$	=
(C) 07		(D) 01	* 00	=	X\$	=		X\$	=
Basic Fee (37 CFR 1.16(h))						\$		\$ 740.00	
Total Filing Fee						\$		OR \$ 740.00	
<b>Claims as Amended - Part 2</b>									
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity		
					Rate	Fee	Rate	Fee	
Total Claims (37 CFR 1.16(j))	***	MINUS	**	*	X\$	=	or	X\$	=
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	X\$	=		X\$	=
Total Additional Fee						\$		OR \$	
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 27 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u>. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> A check in the amount of \$ <u>740.00</u> to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on form PTO-2038.</b></p>									
December 7, 2001		 Signature of Applicant, Attorney or Agent of Record							
Date		Allan Ratner, Reg. No. 19,717 Typed or printed name							

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): M. Oshima et al.

Docket No.

MTS-520US2

Serial No.

Filing Date

Examiner

Group Art Unit

To Be Assigned

Herewith

Invention: MARK FORMING APPARATUS, METHOD OF FORMING LASER MARK ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL DISK AND METHOD PRODUCING OPTICAL DISK

I hereby certify that the following correspondence:

Reissue Divisional Application and its related enclosures

*(Identify type of correspondence)*

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

The Assistant Commissioner for Patents, Washington, D.C. 20231 on *December 7, 2001*

Kathleen Libby

*(Typed or Printed Name of Person Mailing Correspondence)*

  
*(Signature of Person Mailing Correspondence)*

EL923263897US

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**Note: Each paper must have its own certificate of mailing.**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Oshima et al.

: Art Unit: 2132

Serial No.: 09/588,364

: Examiner: S. Kabakoff

Filed: June 2, 2000

FOR: MARK FORMING APPARATUS,  
METHOD OF FORMING LASER MARK ON  
OPTICAL DISK, REPRODUCING  
APPARATUS, OPTICAL DISK AND  
METHOD OF PRODUCING OPTICAL DISK

COPY

CERTIFICATE UNDER 37 CFR 3.73 (b)

Assistant Commissioner for Patents

Washington, D.C. 20231

S I R :

Matsushita Electric Industrial Co., Ltd., a Japanese Corporation certifies that it is the assignee of the entire right, title and interest in U.S. Patent No. 5,761,301, which issued from application number 08/560,015, filed on November 17, 1995 by virtue of an assignment from the inventors. The assignment was recorded in the Patent and Trademark Office at Reel 7884, Frame 0122, for which a copy thereof is attached.

The undersigned has reviewed all the documents in the chain of title from patent application 08/560,015 to U. S. Patent No. 5,761,301 and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : October 1, 2001

Name : H. Kitagawa

Title : Development Center  
Authorized Signing Officer

Signature : \_\_\_\_\_